

Gateway for Cancer Research ANNUAL REPORT

99 cents of every dollar received funds cancer research.



Gateway for Cancer Research

Gateway for Cancer Research is a 501 (c)(3) nonprofit organization that funds innovative cancer research studies to make a difference in the lives of those living with cancer today. Gateway partners with forward-thinking researchers and provides much needed funding for bold, new phase I and phase II cancer clinical trials across the world.

Vision

To shape a world in which a cancer diagnosis is no longer feared.

Mission

To fund cancer research in new drug investigations, novel combination therapies, and complementary and alternative cancer treatments that will help cancer patients feel better, live longer or be cured **TODAY!**

TABLE_{OF} CONTENTS

vveicome Letter	5
Research Portfolio	6
Patient Story Spotlight: Richard Rogers	7
Researcher Spotlight: Dr. Robert Aiken	8
Patient Advocate: Kay Kays	9
Clinical Trials Funded in FY 2013	10
Cancer Researcher of the Year Award	12
Cures 2012 Gala	14
Independent Fundraisers	15
Team Demand	16
Strong Partnerships and Alliances	17
Financial Highlights	18
Generous Donors Listing	19
Board Members and Team	20

*This report covers research and fundraising from July 1, 2012 - June 30, 2013.



Dear Friends,

What an exciting time for patients, their caregivers, physicians, and researchers as Gateway brought forth new opportunities for cancer patients in need of better treatment solutions and cures. In addition to awarding funds to 13 new innovative cancer clinical trials, Gateway launched strategic initiatives that will expand our reach and enhance our capabilities to alter the way cancer is approached in research and treated at bedside.

In the past year we diversified and broadened our research portfolio to bring more treatment options to more patients in more regions across the world. New partnerships were formed with cancer research and cancer funding organizations overseas, paving the way for Gateway funded clinical trials in Europe. We also formed a Research Advisory Committee to address unmet patient needs and to determine how Gateway can best fill these gaps within early phase cancer research studies.

While our growth is remarkable, we have to remember what drives us each and every day: the patient. There is no greater cause and no greater calling for our organization than to make a difference in the lives of those affected by cancer every day!

Within this report you will learn about Gateway activities, achievements and research studies that your donations helped to make possible. I want to thank the cancer researchers who have partnered with us to bring forth better treatment solutions that impact lives **TODAY!** Most importantly, a round of applause is in order for the brave cancer patients who are fighting this mighty battle against cancer in every way possible. Your courage, strength and perseverance are admirable, and I thank you for sharing your stories of bravery and victory with us.

On behalf of the Board of Directors, Board of Scientific Counselors and Gateway team, thank you for partnering with us in this cause. Without your generosity and support, we would not be able to bring potentially lifesaving treatments to cancer patients who need them most... and that's what truly matters most!

Together, we are making a difference in the fight against cancer...and your steadfast support is the reason for this difference.

Gratefully yours,

Richard J Stephenson

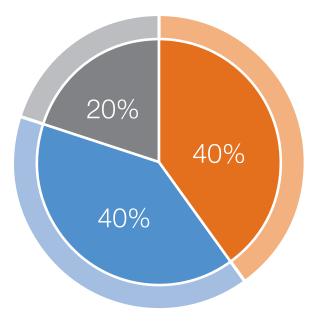
Chairman of the Board of Directors, Gateway for Cancer Research

RESEARCHPORTFOLIO

One of Gateway's guiding principles is to support cancer clinical trials that challenge the current research model through outside-the-box treatment innovations that may not otherwise be funded. While the traditional approach to cancer research has yielded notable successes over the years, Gateway is committed to seeing more advancement that gives greater attention to the needs of today's cancer patients.

Gateway strives for a balanced research portfolio that encompasses a wide range of cancer treatment approaches with the aim to increase survival and quality of life. Our research grants portfolio consists of approximately 40% novel use/combination of existing drugs, 40% complementary and alternative medicine (CAM) therapies and 20% new therapy/drug discoveries.

Novel use/combination of existing drugs are studies that use drugs approved for other conditions in combination with cancer-targeted therapies to deliver a unique and potentially more-effective treatment plan. Complementary and alternative medicine treatments often use natural products, integrated wisdom medicine principles such as mind-body medicine, et al., to help boost the effectiveness of current therapies or minimize burdensome side effects. New drug and treatment discoveries are developed in an attempt to



- Novel Use of Existing Drugs
- CAM Therapies
- New Drug and Treatment Discoveries

provide patients with safer, less toxic or more effective options for treating cancer.

The reason that 80% of our funding is dedicated to novel use and CAM research studies is because positive research results can benefit patients quickly due to a shorter FDA review and approval process. We deliberately limit the percentage of funds awarded to new drug investigations because the likelihood of studies closing or failing is comparatively higher. What's more, the overall development phase can take years for a new drug to become an approved standard of care.

In Fiscal Year 2013, Gateway received 187 pre-grant applications, of which 22 were invited to submit a full grant application. At the recommendation of Gateway's Board of Scientific Counselors, 13 were ultimately awarded with a research grant. In total, Gateway funded 26 clinical trials, including two international studies in Canada and Switzerland. More importantly, these research studies provided new treatment opportunities to 1,303 cancer patients, offering safer and more effective therapies that improve quality of life and restore hope for a healthier future.

FIGHTING MULTIPLE MYELOMA

Blockade of PD-1 in Conjunction with the Dendritic Cell/Myeloma Vaccines Following Stem Cell Transplantation

Richard Rogers received news from doctors that he had a very aggressive form of multiple myeloma; his blood was 60 percent cancer. Before Richard began treatment, he learned about a Gateway funded clinical trial underway at Beth Israel Deaconess Medical Center in Boston. Dr. David Avigan was leading a promising treatment approach using a new vaccine and a patient's immune system to more effectively target and destroy cancer cells.

After months of research and deliberation between Richard and his wife, he decided to participate in the clinical trial, which would first require a stem cell transplant. This was the first time Richard would be participating in a clinical trial, which made him slightly nervous and a bit overwhelmed. In an effort to stay strong, positive, and hopeful throughout the journey, Richard focused on a special goal, saying "My goal is to dance at my grand-daughters' weddings."

Dr. Avigan's research study provides patients with an extra cancer-fighting antibody that amplifies the body's immune response in its quest for a disease-free state. According to Dr. Avigan, cancer cells are able to grow in the body because they outsmart the immune system and create an environment where they cannot be recognized. The goal of Dr. Avigan's study is to create a cancer vaccine that would

reverse this process so the immune system can identify and attack the cancerous cells. The cancer vaccine is unique because it's designed to teach the immune system to recognize the myeloma as foreign, attack the cancer cells and improve its work against cancer.

"We take new, bold clinical ideas and turn them into actual clinical realities. That is the focus of our work as well as Gateway's." - Dr. Avigan

After undergoing a stem cell transplant and receiving the cancer vaccine treatment from Dr. Avigan, Richard has been able to fight off cancer with even greater success. Today, Richard is cancer-free, and he plans to one day dance at his grand-daughters' weddings.

-Richard Rogers

Clinical Trial Participant Multiple Myeloma Survivor



Learn more about Richard's story here:

DemandCuresToday.org/Richard



New Drug Takes Aim at Brain Cancer

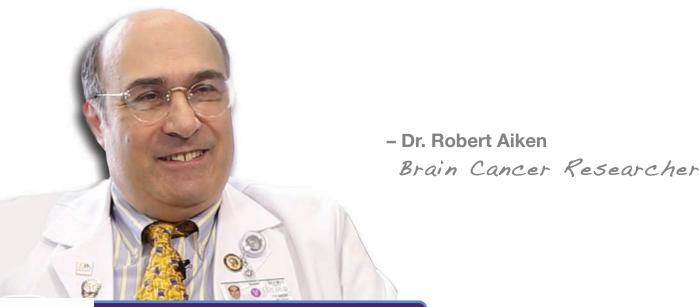
Phase I/II Trial of the IGF-1R Inhibitor. AXL 1717 for Treatment of Patients with Recurrent Malignant Astrocytoma

According to the National Cancer Institute, an estimated 23,000 individuals were diagnosed with brain cancer in 2013. Sadly, approximately 60 percent are expected to die from this aggressive disease. While treatment options are available by way of chemotherapy, radiation and surgery (to name just a few), there remains an apparent need for safer and more effective treatment solutions for today's brain cancer patients.

At Rush University Medical Center in Chicago, Dr. Robert Aiken is exploring a new and potentially life-saving treatment option for patients with malignant brain tumors. With funding from the Gateway for Cancer Research, Dr. Aiken is leading the phase I/II clinical trial that treats

patients with a new anticancer drug. The new drug is an insulin-like growth factor 1 receptor (IGF-1R) pathway inhibitor that prevents specific hormones from aiding in the growth of malignant tumor cells.

Dr. Aiken is the first researcher to use this specific drug to treat brain tumors. The preliminary data is very encouraging and the potential impact is even greater. This is the only drug aimed at regulating IGF-1R that is able to cross the blood-brain barrier, making this study a truly significant project that may provide less toxic therapies to patients who have few or no options. This treatment is much safer and may allow patients to live their lives to their fullest.



Learn more about Dr. Aiken's research here:

DemandCuresToday.org/Aiken

PATIENT ADVOCATE: KAY KAYS

THE VOICE OF THE Patient ADVOCATE

Kay Kays is not only a passionate advocate for today's cancer patients, she is also a four-time cancer survivor who refused to give up her fight against the disease. "Everyone I talked to said 'you're going to die, you have pancreatic cancer,' and I wanted more hope than that,'" said Kays, who was diagnosed with cancer at age 44.

Kays first underwent surgery to remove the cancerous cells in her pancreas, but the disease returned five years later. Determined to beat this cancer, Kays researched her treatment options and found a procedure that would remove her pancreas and spleen without needing a transplant. It's a surgical procedure that Kays says was made possible through advancements in cancer research.

Unfortunately the cancer returned yet again...two more times over the next four years. The cancer was on the move as tumors were found in her lymph nodes and lungs. Despite the news, Kays chose hope and perseverance rather than defeat and fear.

During the time of her recurrence, Kays was working in research as a patient advocate, and she was more knowledgeable about cancer diagnoses, new treatment innovations and outcomes than ever before. As an empowered patient, Kays became an active member of her own care team, sought second opinions, and researched emerging therapies in cancer research. "To me, it was knowing what was going on in research that helped me make some good health care choices," said Kays, who has since remained in good health following successful treatments of chemotherapy and surgery.

Through all of her challenges and victories, Kays found strength and hope in cancer research. Now, as a thriving survivor, Kays dedicates her time to helping others affected by cancer by offering support and resources to patients just like her. Kays received special training as a patient advocate through the National Cancer Institute (NCI) and today serves on the Gateway Board of Scientific Counselors.

The BSC is comprised of various cancer research experts from leading institutions across the United States. Within the

last two years, the BSC has expanded to include two patient advocates, one of which is Kay Kays. This multidisciplinary team is responsible for reviewing all research grant applications to ensure funded clinical trials are patient-centered, results-oriented and purposed to help cancer patients

TODAY!

As a BSC member, Kays offers valuable insights as both a survivor and trained patient advocate. She brings the voice of the patient to the forefront and raises critical questions that address treatment risks and benefits. For Kays, it's important that each research study supports the physical and emotional needs of patients and their families during treatment and into survivorship.

Together, as a multidsicplinary team of cancer experts, Kays and the BSC members help researchers to design clinically-relevant research studies that consider the needs and well-being of enrolled patients.

- Kay Kays
Patient Advocate



Learn more about Kay's story here:

DemandCuresToday.org/Kay



CLINICALTRIALS FUNDED FY2013

Advanced Malignancies

Drs. Siging Fu and Razelle Kurzrock

Patients with Advanced Malignancies

MD Anderson Cancer Center Surface-Controlled Water Soluble Curcumin in

Blood/Hematologic Malignancies

Dr. David Avigan

Beth Israel Deaconess Medical Center Powerful New Tools for Battling Myeloma

Dr. Nelson Chao

Duke University

Microbiome and Stem Cell Transplantation: Home Care vs. Standard Hospital Care

Dr. Arthur Frankel

Scott and White Memorial Hospital CD3 Immunotoxin Therapy of CTCL

Dr. Larry W. Kwak

MD Anderson Cancer Center

Personalized Cancer Therapy: Lymphoma and Myeloma

Dr. Joseph Pidala

Moffitt Cancer Center

Immune Suppressive Medications for Graft vs. Host Disease Following Stem Cell Transplants

Dr. Weili Sun

Children's Hospital Los Angeles

New Epigenetic Approaches to Leukemia Therapy for Children

Dr. Jeffery Wong

City of Hope

Targeted Radiation Therapy to Reduce Toxicity in Bone **Marrow Transplantation**

Dr. Clive Zent

Mayo Clinic

Early Treatment Intervention for Chronic Lymphocytic Leukemia (CLL)

Bone/Tissue

Dr. Frank Balis

The Children's Hospital of Philadelphia Prevention of Nephrotoxicity in Pediatric Osteosarcoma

Brain

Dr. Robert Aiken

Rush University Medical Center IGF-1R Inhibitor for Patients with Recurrent Malignant

Astrocytoma

Dr. Delphine Davis

University of Rochester Medical Center Predicting Brain Tumor Recurrence

Dr. Pierre-Yves Dietrich



- Dr. Rebecca Aft

Breast Cancer Researcher





Breast

Dr. Rebecca Aft

Washington University (St. Louis)

Effects of Herceptin on Survival in Breast Cancer

Patients

Dr. Lorenzo Cohen

MD Anderson Cancer Center

Comprehensive Integrative Oncology Clinical Trial

Dr. Leisha Emens

Johns Hopkins University

Innovative Vaccines to Combat Breast Cancer

Dr. Nagi Kumar

Moffitt Cancer Center

Ameliorating Symptoms of Cognitive Impairment in Women Treated with Chemotherapy for Breast Cancer

Cervical

Dr. Lois Ramondetta

MD Anderson Cancer Center

Targeting Hormonal Triggers in Cervical Cancer

Colon Colorectal

Dr. Misha Cohen

University of California, San Francisco

Treatment of Anal HSIL through Use of Chinese

Herbal Topical Cream

Dr. Edward Lin

Fred Hutchinson Cancer Research

Center Two-Step ADAPT Therapy for Metastatic

Colorectal Cancer

Dr. Kathleen Wesa

Memorial Sloan-Kettering Cancer Center Vitamin D Levels in Colorectal Cancer

Lung

Drs. Richard Pietras and Lee Goodglick

UCLA Jonsson Comprehensive Cancer Center Combination Therapy for Advanced Non-Small Cell Lung Cancer

Dr. Dugald Seely

Canadian College of Naturopathic Medicine

Melatonin's Clinical Effects on Immune and
Inflammatory Systems

Melanoma/Skin

Dr. Patricia LoRusso, Karmanos Cancer Institute Dr. Jeffrey Trent, TGen Personalized Medicine for Patients with BRAF Wilde-Type Cancer

Prostate

Dr. Sanjay Gupta

Case Western Reserve University Polyphenols in Prostate Cancer

Sarcoma

Dr. Gary Schwartz

Memorial Sloan-Kettering Cancer Center Combination Therapy for Patients with Advanced Metastatic Sarcoma

For the most up-to-date list of clinical trials funded by Gateway visit:

DemandCuresToday.org/cancer-research/ current-trials

CANCER RESEARCHER YEAR AWARD

Gateway's new Cancer Researcher of the Year Award celebrated cancer research heroes who work hard to discover better, safer and more effective cancer treatments that may help cancer patients feel better, live longer or be cured today. This inaugural recognition honored a Gateway funded researcher who has achieved measurable and significant excellence in the field of cancer research, in addition to positively impacting the lives of individuals living with cancer.

From among the 19 applications received, Gateway's Award Committee narrowed down the finalists grouping to six highly decorated and achieved researchers. Each of the deserving finalists demonstrated truly remarkable

contributions to patient care as well as an unprecedented level of advocacy, support and service for today's cancer patients.

In the spirit of patient-centered care and collaboration, the final vote for the Award recipient rested upon Gateway's network of supporters and the community at large. The level of engagement was tremendous, as more than 7,000 votes were received from across the country as well as abroad.

At Gateway's Annual Meeting celebration, Dr. Pierre-Yves Dietrich of University Hosptial Geneva in Switzerland was announced as the very first to be honored as Gateway's Cancer Researcher of the Year. The energetic medical researcher has helped advance the field of cancer research with wide-reaching contributions spanning the laboratory, classroom and patient care setting.



"Iam passionate about what I am doing and feel privileged to care for cancer patients. This award is an amazing source of motivation for both me and my team, of whom I share in this success for the outstanding quality of their work."

- Dr. Pierre-Yves Dietrich

Lung Cancer Researcher

AWARD RECIPIENT: PIERRE-YVES DIETRICH, MD, PhD

As a researcher, professor and oncologist, Dr. Dietrich is a shining example of an altruistic and passionate leader who pursues and applies knowledge in bold, new ways with a singular goal to help those afflicted by this deadly disease.

Fifteen years ago, Dr. Dietrich was one of the first to formulate and test a hypothesis that would leverage the immune system to help fight brain tumors. With conviction, passion and optimism for finding better cures with less side effects, Dr. Dietrich established the Laboratory of Tumor Immunology at the University Hospital Geneva in 1994. Dr. Dietrich and his research team yielded significant contributions to the field of cancer therapy with emphasis on brain tumor immunology. They gained insights on the mechanisms of effective anti-tumor immune responses in the brain, while also understanding how tumor cells seemingly evade attacks from the immune system.

A recent success for Dr. Dietrich was the identification of a series of cell surface molecules (glioma-associated antigens) that are present on tumor cells, but otherwise faint or absent on normal tissue. This discovery has paved the way for the development of immunotherapies (therapeutic vaccines, T cell therapy) in which the immune system can more accurately target tumor cells without compromising healthy brain tissue.

Gateway is currently funding Dr. Dietrich's innovative clinical trial using a robust set of antigens to treat brain cancer patients.



Richard J Stephenson (at right) congratulates Dr. Dietrich at Gateway's Annual Meeting.



of ceremonies. Evening highlights included a performance by American Idol winner Lee DeWyze. Lee graced the stage with his personal and beautiful rendition of the song "Hallelujah," along with one of his new songs titled "Fight." Several members of the 1985 Chicago Bears Super Bowl team were also present for autographs.

Thank You

To Our Devoted Gala Committee Members!

- Tina Ballis
- Meghan Garofolo
- **Bev Lynde**
- **Cindy Mayo**
- **Chris Pawlowski**
- Pam Picchietti
- Paris Pyne Yesawich
- **Dottie Rzeszutko**
- Tami Vogel

CURES AHEAD GOLF INVITATIONAL

AHEAD and the Cancer Treatment Centers of America® (CTCA) Information Technology department joined forces to host the inaugural Cures Ahead Golf Invitational at The Glen Club in Glenview, Illinois. The golf event was conceived through a close working relationship between both organizations who share a passion for supporting the needs of today's cancer patients. CTCA IT uses technology to improve



the quality of patient care from first contact to completion of treatment, and AHEAD assists CTCA in this critical endeavor. Wanting to take their partnership to the next level by also supporting cancer research, AHEAD and CTCA's IT team approached Gateway with the idea of an annual fundraising event at the highly acclaimed golf course.

The event was an instant success as nearly 100 golfers representing 28 companies participated in the inaugural golf invitational. Participants enjoyed a wonderful day of golf, raffle prizes and a closing dinner ceremony that reminded guests what the day was all about: cancer research. Among the many generous donors and sponsors were AHEAD and Cisco, who each donated \$15,000 to Gateway. Between donations and sponsorships, \$120,000 was raised to benefit Gateway.

SPARE TIME 4 A CURE

Spare Time 4 a Cure, which has been an annual fundraiser for the last 18 years, has become a highly anticipated event attended by dedicated members of the community, many of whom have been personally touched by cancer. More than 200 guests come together at a local bowling alley in Chicago for some friendly competition, to participate in a sports-themed raffle, to snack on pizza, and to enjoy time with friends and family. This annual fundraiser sells out each year and continues to raise about \$10,000 for Gateway.

The dedication of event organizers Tim, Dave and Jim is inspiring and showcases what's possible when our supporters apply hard work and passion toward a good cause. Spare Time 4 a Cure has become more than a typical fundraiser and has grown into a family-like gathering that brings together enthusiastic individuals who share a heart for finding cures and providing hope for today's cancer patients.



Spare Time 4 a Cure event organizers Tim O'Conor, Jim Arnoux and Dan O'Conor.



Team Demand is a national charity athlete program uniting cause and community where individuals rally together with two goals in mind - to lead an active lifestyle and to raise funds for bold, new cancer research. Athletes of all levels have represented Team Demand in competitive and family-fun events that include local run/walk, cycling, golfing, and mudathalon competitions - really, any sporting activity. Team Demand members and supporters are spreading the urgent message that better, safer and more effective cancer treatments are needed for today's cancer patients

Team Demand participated in 13 races this year, which included the Chicago Half Marathon, Chicagoland Spring Marathon and Half Marathon, and the Georgia Publix Marathon and Half Marathon. Gateway partnered with an endurance race company and secured charity beneficiary status for two races in Illinois. This partnership raised additional visibility and money for the organization.

During FY 2013, Team Demand charity athletes raised more than \$31,000.

Are you interested in running, walking or biking to help raise money for cancer research? Learn more and form your very own team for any event by visiting:

DemandCuresToday.org/TeamDemand



@DemandCures



/TeamDemandCures

STRONGPARTNERSHIPS AND ALLIANCES IN ACTION

Mission Partner: Clune Construction Company

Gateway received a truly generous multi-year donation that will help to continue funding innovative cancer clinical trials across the world that aim to help cancer patients everywhere feel better, live longer or be cured **TODAY!** The unprecedented \$1 million contribution came from philanthropic corporate executive, Michael T. Clune, on behalf of his private general construction company, Clune Construction.

"We are humbled to receive this generous gift and thrilled that Mr. Clune and the entire Clune Construction team have emphatically partnered with us in the our quest to one day see a world in which a cancer diagnoses is no longer feared," said Karen Kay, Director of Development at the Gateway for Cancer Research.

Michael Clune of Clune Construction Company with Mrs. Lori Clune.

Kay adds that a donation of this magnitude has the potential to impact thousands of lives, and "it gives us greater hope that better cancer treatments and even a cancer cure can be seen in this generation."

Mr. Clune was inspired to become a leading mission partner because of Gateway's passion and unique approach to funding cancer research. "Late last year I had the opportunity to become involved with the Gateway for Cancer Research and was highly impressed with the organization," said Clune. "I was deeply moved by their commitment to the cause of cancer research, and with their rigorous process for administering funds. Nearly 99 cents of every dollar donated to Gateway goes directly to cancer research, which is an exceptional percentage relative to other nonprofits."

Charity Partner: Cancer Sucks

Cancer Sucks is a nonprofit organization that raises funds in the most creative and fun way to support organizations, such as Gateway, that facilitate cancer research studies. A \$70,000 donation came from proceeds raised at the eighth annual Cancer Sucks concert in Tulsa, as well as the 2012 Shiprocked Cruise in which Cancer Sucks was the benefiting charity of choice for the event's lucrative auction of music memorabilia.

"There's great synergy between these two organizations, and our relationship with Cancer Sucks continues to grow," said Karen Kay. "We are both committed to spreading the urgent message that cancer treatment solutions are needed now, because too many patients are left waiting for answers."

For more than ten years, Cancer Sucks has been a vocal supporter of Gateway's mission to fund innovative, conventional and alternative cancer treatment therapies. This gift is the largest single donation made by the organization to Gateway, and the dynamic relationship between these two nonprofits is expected to grow in years to come.



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FINANCIAL HIGHLIGHTS

Year Ended June 30, 2013

FUNDRAISING REVENUE	
Contributions	\$829,724
Special Events	\$2,432,314
RESEARCH GRANTS	
Awarded Grant Funds	\$1,788,480
ASSETS	
Cash and Cash Equivelents	\$7,976,449
Pledges and other receivables	\$310,944
Certificates of Deposit	\$1,616,118
Interests in Limited Partnerships	\$8,350
Total Assets	\$9,911,861
LIABILITIES AND NET ASSETS	
Accrued Grants	\$3,768,961
Accrued Expenses	\$292
Deferred Revenue	\$45,520

Total Net Assets and Liabilities

\$9,911,861

\$6,097,088

Net Assets

^{*}Thanks to generous underwriting by Cancer Treatment Centers of America for Gateway's administrative expenses, which includes employee compensation, 99% of all contributions received directly fund researchers who are conducting phase I and phase II cancer clinical trials.

TO OUR DONORS ...

Your unwavering commitment and generosity is humbling. Your support and resources equip us to move forward with unhindered boldness in our shared cause to find and fund better cancer treatments.

JR <u>GENEROUS</u> DNORS: **FY2013**

PLATINUM DONORS

(\$100,000 and above)

AmerisourceBergen The Richard Templer Family

GOLD DONORS

(\$50.000 - \$99.999)

The Bonner Family CancerSucks, Inc. Cancer Treatment Centers of America Rising Tide Mr. Richard J Stephenson and Dr. Stacie Macari

SILVER DONORS

(\$25,000 - \$49,999)

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